# Third order aberrations of the electron deflecting-focusing system 


#### Abstract

A linear analysis of the deflecting-focusing systems applicable in the scanning microscope has been carried out. The third order aberrations are given while twelve different kinds of deflecting and imaging aberrations of the deflecting-focusing system are discussed.


## 1. Introduction

The deflecting-focusing systems are applied in the electron-beam devices with the scanning electron beam. The first qualitative analysis of the thirs order aberrations in the deflecting-focusing system was made by Amboss and Wolf [1]. The formulae enabling calculation of third order aberrations for a deflecting--focusing system were given by Munro [2], who employed the trajectory equation for an electron ray in the linear approximations, as well as the coefficients of the expansion into power series of the deflecting and focusing fields.

The analysis of the deflecting-focusing system aberrations may be carried out in another way, i.e. by considering a complex electronooptical system as an ensamble of cascaded electronooptical regions. This method has been employed in the present work. It allows (as compared with Munro's method [2, 3]): a) to analyse a geometrical aberrations of a complex electronooptical system, basing on a well-known theory of aberration of its each subensambles; b) to control the behaviour of aberrations introduced by subensambles of the system. The property b) is useful for minimizing the electronooptical system aberration.

In the section 2 a general description of a deflec-ting-focusing system will be given together with the simplifing assumptions. In section 3 the linear aproximation of the discussed system is formulated, while the method of aberration coefficient determination is given in section 4,5 and 6 . The geometrical aberrations of deflecting-focusing system are characterized in section 7.

## 2. Description of the deflecting-focusing system

The deflecting-focusing system is composed of two deflecting units which form a deflecting doublet DD and a focusing lens; the latter being most frequently

[^0]the end lens of the electronooptic device. The scheme of the deflecting-focusing system is shown in fig. 1.

The following simplifications has been assumed in the analysis of the deflecting-focusing system:

- the focusing field of the end lens and DD coils do not overlap,


Fig. 1. The scheme of the deflecting-focusing system

- the image plane of the deflecting-focusing system is the Gaussian plane of the lens,
- the deflecting field of DD starts at the object plane of the lens.


## 3. A linear approximation of the deflecting-focusing system

For linear approximation the parameters $u, u^{\prime}$ of an electron ray in the image plane are

$$
\left[\begin{array}{l}
u  \tag{1}\\
u^{\prime}
\end{array}\right]=S\left[\begin{array}{l}
u_{0} \\
u_{0}^{\prime}
\end{array}\right],
$$

where:
$u=x+i y$ are the complex coordinates of an electron ray in the image region of the lens,
$u_{0}=x_{0}+i y_{0}$ are the tangents of the electron ray inclination angles in the image region of the lens,
$u_{0}=x_{0}+i y_{0}$ are the complex electron ray coordinates in the object plane $z_{0}$ lens,
$u_{0}^{\prime}=x_{0}^{\prime}+i y_{0}^{\prime}$ are the tangents of the ray inclination angles in the $z_{0}$ plane.

The matric $S$ in the equation of the electron ray trajectory has the form [4]:

$$
S(z)=\left[\begin{array}{cc}
\mu(z) & v(z)  \tag{2}\\
\mu^{\prime}(z) & v^{\prime}(z)
\end{array}\right]
$$

In accordance with the assumptions accepted in section 2 the object and image planes of the deflec-ting-focusing system are identical with the object and image planes of the lens. The deflecting doublet DD is placed in the object region of the lens (see fig. 2). In the image plane $z_{1}$ of the deflecting-focusing system the elements of matrix $S$ have the form [4]:


Fig. 2. The simplified scheme of the deflecting--focusing system

$$
\begin{gather*}
\mu_{i}=\mu\left(z_{i}\right)=M, \\
v_{i}=v\left(z_{i}\right)=0, \\
\mu_{i}^{\prime}=\mu_{i}^{\prime}\left(z_{i}\right),  \tag{3}\\
v_{i}^{\prime}=v^{\prime}\left(z_{i}\right),
\end{gather*}
$$

$M$ - linear magnification of the lens.
The electron beam trajectory in the deflecting-focusing system is characterized by the so-called central ray. The initial conditions for the central ray (in the plane $z_{0}$ ) have the form [5]:

$$
x_{0}=y_{0}=x_{0}^{\prime}=y_{0}^{\prime}=0
$$

The central ray which runs initially along the $z$ axis is deflected by the first deflecting unit of DD being next deflected in the opposite direction. The central ray trajectory in DD and in the lens are shown in fig. 3. In accordance with this figure the central ray accordinates in the $z_{2}$ plane $\varphi$ are

$$
\begin{align*}
x_{c}\left(z_{2}\right) & =-d x_{c}^{\prime}\left(z_{2}\right), \\
y_{c}=\left(z_{2}\right) & =-d y_{c}^{\prime}\left(z_{2}\right), \\
x_{c}^{\prime}\left(z_{2}\right) & =-\tan \gamma_{x},  \tag{4}\\
y_{c}^{\prime}\left(z_{2}\right) & =-\tan \gamma_{y},
\end{align*}
$$

where

$$
\begin{align*}
& \tan \gamma_{x}=\mu_{0} k \int_{z_{0}}^{z_{0}}\left(H_{0}^{(1)}-H_{0}^{(2)}\right) d z \\
& \tan \gamma_{y}=-\mu_{0} k \int_{z_{0}}^{z_{2}}\left(V_{0}^{(1)}-V_{0}^{(2)}\right) d z \tag{5}
\end{align*}
$$

and
$H_{0}^{(1)}, H_{0}^{(2)}$ are the $y$-coordinates of the magnetic strength vector on the axis $z$ for the first and second deflecting system [6],
$V_{0}^{(1)}, V_{0}^{(2)}$ are the $x$-coordinates of the magnetic strength vector on the axis $z$ for the first and second deflecting system;

$$
\mu_{0}=4 \pi 10^{-7} \frac{H}{m}, k=\left(\frac{e}{2 m_{0} U_{0}^{*}}\right)^{1 / 2}
$$

$U_{0}^{*}=U_{0}\left(1+\varepsilon U_{0}\right)$ - the accelerating voltage with the relativistic correction

$$
\varepsilon=\frac{e}{2 m_{0} c^{2}}
$$

The coordinates of the electron ray of initial parameters $u_{0}, u_{0}^{\prime}$ in the $z_{2}$ plane are [5]

$$
\left[\begin{array}{l}
u_{2}  \tag{6a}\\
u_{2}^{\prime}
\end{array}\right]=L\left[\begin{array}{l}
u_{0} \\
u_{0}^{\prime}
\end{array}\right]+U
$$

where

$$
\begin{align*}
U=U_{c}\left(z_{2}\right) & =\left[\begin{array}{l}
u_{c}\left(z_{2}\right) \\
u_{c}^{\prime}\left(z_{2}\right)
\end{array}\right] \\
& =-\left(\tan \gamma_{x}+i \tan \gamma_{y}\right)\left[\begin{array}{r}
-d \\
1
\end{array}\right] . \tag{6b}
\end{align*}
$$

The matrix $L=\left[\begin{array}{ll}1 & L \\ 0 & 1\end{array}\right]$ is a field-free matrix in the region of length $L$.

The deflecting-focusing system is composed of two cascaded four-poles: an active four-pole DD, and a passive lens four-pole (comp. fig. 4). In the further


Fig. 3. The central ray trajectory in DD and in the end lens;
$H_{1}, H_{2}$ - principal planes of the deflecting systems, $H_{i}, H_{0}$ - principal planes of the lens


Fig. 4. The scheme of the deflecting-focusing system; $I_{H}, I_{V}-$ the deflecting currents in the DD coils
considerations the input coordinates $u_{0}, u_{0}^{\prime}$ of the electron beam are referred to the $z_{2}$ plane, the latter being removed from the object plane of the deflecting--focusing system by a distance $L$. Hence

$$
\left[\begin{array}{l}
u_{0}  \tag{7}\\
u_{0}^{\prime}
\end{array}\right]=L^{-1}\left[\begin{array}{l}
u_{2} \\
u_{2}^{\prime}
\end{array}\right] .
$$

By virtue of (1), (6a), and (7) we have

$$
\left[\begin{array}{c}
u_{i}  \tag{8}\\
u_{i}^{\prime}
\end{array}\right]=S_{t}\left[\begin{array}{c}
u_{0} \\
u_{0}^{\prime}
\end{array}\right]+L^{-1} U .
$$

This dependence associates the electron beam coordinates in the image plane $z_{i}$ of the deflecting-focusing system with the ray coordinates in the object plane $z_{0}$. In particular on the basis of (6b) and (8) we get

$$
\begin{equation*}
u_{i}=M\left[u_{0}+\left(\tan \gamma_{x}+i \tan \gamma_{y}\right)(d+L)\right] \tag{9}
\end{equation*}
$$

where:
$L=L_{1}+L_{2}-$ the length of DD along the $z$-axis, $M$ - linear magnification of the lens.
The central ray coordinates ( $u_{0}=0$ ) in the $z_{i}$ plane have the form

$$
\begin{align*}
& x_{i}=\mu_{0} k L M \int_{z_{0}}^{z_{2}}\left(H_{0}^{(1)}-H_{0}^{(2)}\right) d z  \tag{10}\\
& y_{i}=-\mu_{0} k L M \int_{z_{0}}^{z_{2}}\left(V_{0}^{(1)}-V_{0}^{(2)} d z\right.
\end{align*}
$$

The lens in the deflecting-focusing system causes the rotation of the coordinate system by angle $\Theta_{i}$ with respect to the $z_{2}$ plane [4]

$$
u_{i}=u_{2} \exp i \Theta_{i}
$$

where

$$
\Theta_{i}=\frac{1}{2} k \int_{z_{2}}^{z_{a}} B_{0}(z) d z
$$

The function $B_{0}(z)$ is an axial component of magnetic induction vector in the lens.

## 4. Third order aberrations of the deflecting--focusing system

The deflection $\Delta x_{i}$ of the electron beam caused by the deflecting errors of third order has the form

$$
\begin{align*}
& \Delta x_{i}=d_{i}^{j k} x_{i} x_{k} \\
& \quad(i, j, k=1, \ldots, 5) \tag{11}
\end{align*}
$$

where:
$\Delta x_{i}$ - first rank tensor $\left(\Delta x_{1}=0, \Delta x_{2}=\Delta x\right.$, $\left.\Delta x_{3}=\Delta y, \Delta x_{4}=\Delta x^{\prime}, \Delta x_{3}=\Delta y^{\prime}\right)$,
$x_{j} \quad$ - first rank tensor being an element of the column vector: $\operatorname{col}\left\{1, x_{0}, y_{0}, x_{0}^{\prime}, y_{0}^{\prime}\right\}$.

The coordinates $x_{0}, y_{0}, x_{0}^{\prime}, y_{0}^{\prime}$ characterize the properties of the electron ray in the $z=z_{0}$ plane. The third rank tensor $d_{i}^{j k}$ in (11) is an element of a quadratic matrix, which for $i=1$ is a zero matrix, i.e. $D_{1}=0$, while for $i=2, \ldots, 5$ :

$$
D_{i}=\left[\begin{array}{ccccc}
d_{i}^{11} & d_{i}^{12} & d_{i}^{13} & d_{i}^{14} & d_{i}^{15}  \tag{12}\\
0 & d_{i}^{22} & d_{i}^{23} & d_{i}^{24} & d_{i}^{25} \\
0 & 0 & d_{i}^{33} & d_{i}^{34} & d_{i}^{35} \\
0 & 0 & 0 & d_{i}^{44} & d_{i}^{45} \\
0 & 0 & 0 & 0 & d_{i}^{55}
\end{array}\right] .
$$

The matrix elements $D_{i}(i=0)$ depend upon the value of perfect deviation $X_{s}, Y_{s}$ in the screen plane. This deviation depends upon the deflecting field strength $H_{0}, V_{0}$ on the axis $z$

$$
\begin{align*}
& X_{s}=\mu_{0} k \int_{z_{0}}^{z_{s}}\left(z_{s}-z\right) H_{0}(z) d z  \tag{13a}\\
& Y_{s}=-\mu_{0} k \int_{z_{0}}^{z_{s}}\left(z_{s}-z\right) V_{0}(z) d z
\end{align*}
$$

(copm. [6]).
The tangents of the electron ray slope angles in the screen plane $z_{s}$ are

$$
\begin{align*}
X_{s}^{\prime} & =\mu_{0} k \int_{z_{0}}^{z_{s}} H_{0}(z) d z  \tag{13b}\\
Y_{s}^{\prime} & =-\mu_{0} k \int_{z_{0}}^{z_{s}} V_{0}(z) d z
\end{align*}
$$

The significance of the quantities $z_{0}, z$ and $x_{0}, y_{0}$ is explained in fig. 5.


Fig. 5. Electron ray trajectory in the deffecting field

## 5. Geometrical third order aberrations of the end lens

Deflection of the electron ray in Gaussian image plane of the lens caused by the third order aberrations amounts to

$$
\begin{align*}
\left(\Delta x_{i}\right)_{k} & =s_{k}^{a \beta \gamma} x_{a} x_{\beta} x_{\gamma} \\
& (\alpha, \beta, \gamma, k=1, \ldots, 5) \tag{14}
\end{align*}
$$

The fourth order tensor $s_{k}^{a \beta \gamma}$ is symmetrical with respect to the contravariant indices. For $k=0$, $s_{0}^{a \beta \gamma}=0$, while for $k=2,3$ the tensor elements $s_{k}^{a \beta \gamma}$ depend upon the focusing lens coefficients (comp. [7]). Tensor $x_{a}$ in (14) is a first rank tensor being in fact a column vector element

$$
\begin{equation*}
\bar{x}=\operatorname{col}\left\{1, x_{0}, y_{0}, x_{a}, y_{a}\right\} \tag{15}
\end{equation*}
$$

$x_{0}, y_{0}-$ coordinates of the electron ray in the object plane $z_{0}$ of the lens,
$x_{a}, y_{a}$ - coordinates of the electron ray in the aperture plane $z_{a}$ (comp. fig. 3 ).

## 6. Third order aberration of the deflecting--focusing system

The ideal and real rays in the deflecting-focusing system are presented diagramatically in fig. 6. The regions $\left(z_{0}, z_{1}\right)$ and $\left(z_{1}, z_{2}\right)$ are the regions of the first and second deflecting systems, respectively, while the region $\left(z_{2}, z_{i}\right)$ is the region of the focusing lens.


Fig. 6. Electron ray trajectory in the deffecting-focusing system

The electron ray of initial vector

$$
x_{0}^{*}=\operatorname{col}\left\{1, x_{0}, y_{0}, x_{0}^{\prime}, y_{0}^{\prime}\right\}
$$

intersects the plane $z_{1}$ at a point

$$
\bar{x}_{1}=\left\{\operatorname{col} 1, x_{1}, y_{1}, x_{1}^{\prime}, y_{1}^{\prime}\right\}
$$

due to the action of the first deflecting field. In absence of the deflecting errors in the first deflecting system the ray coordinates would be

$$
\dot{x}_{1}^{(g)}=\operatorname{col}\left\{1, x_{1}^{(g)}, y_{1}^{(g)}, x_{1}^{\prime(g)}, y_{1}^{\prime(g)}\right\} .
$$

The difference

$$
\Delta \bar{x}_{1}=\bar{x}_{1}-\bar{x}_{1}^{(g)}
$$

is caused by the deflecting errors of the first system. The vector $\bar{x}_{1}$ in the $z_{1}$ plane is an initial vector of the electron ray for the second deflecting system. Similarly as for the first region the vector $\bar{x}_{2}$ describes the real ray coordinates, while $\bar{x}_{2}^{(g)}$ denotes the ideal coordinates in the $z_{2}$ plane. The analysis of the trajectory ray in the focusing system is analogous. The deflec-
tion $\Delta \bar{x}_{1}$ in the $z_{1}$ plane caused by the deflection errors of the deflecting system in view of (11) amounts to

$$
\begin{equation*}
\Delta x_{i 1}=d_{i 1}^{j k} x_{j} x_{k} \tag{16}
\end{equation*}
$$

where:
$x_{k}$ - element of the vector $\bar{x}_{0}^{*}$ in the $z_{0}$ plane,
$d_{i 1}^{i k}$ - third rank tensor of the first deflecting system (comp. (12)).
The ideal deflection and the electron ray slope in the $z_{1}$ plane are

$$
\begin{gather*}
X_{1}=\mu_{0} k \int_{z_{0}}^{z_{1}} H_{0}^{(1)}\left(z_{1}-z\right) d z \\
Y_{1}=-\mu_{0} k \int_{z_{0}}^{z_{1}} V_{0}^{(1)}\left(z_{1}-z\right) d z  \tag{17}\\
X_{1}^{\prime}=\mu_{0} k \int_{z_{0}}^{z_{1}} H_{0}^{(1)} d z \\
Y_{1}^{\prime}=-\mu_{0} k \int_{z_{0}}^{z_{1}} V_{0}^{(1)} d z
\end{gather*}
$$

In the further considerations the initial parameters of the electron beam are characterized by the ray coordinates in the object plane $z_{0}$ and aperture plane $z_{a}$, i.e. by $x_{0}, y_{0}$, and $x_{a}, y_{a}$. By introducing the columnvector

$$
\bar{x}_{0}=\operatorname{col}\left\{1, x_{0}, y_{0}, x_{a}, y_{a}\right\}
$$

relation connecting the variables $x_{0}, y_{0}, x_{0}^{\prime}, y_{0}^{\prime}$ with $x_{0}, y_{0}, x_{a}, y_{a}$ has the form

$$
\begin{equation*}
\bar{x}_{0}^{*}=W \bar{x}_{0} \tag{18a}
\end{equation*}
$$

where

$$
W=\left[\begin{array}{ccccc}
1 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 \\
0 & \frac{\mu_{a} M}{Z} & 0 & \frac{-M}{Z} & 0 \\
0 & 0 & \frac{\mu_{a} M}{Z} & 0 & \frac{-M}{Z}
\end{array}\right]
$$

The magnitude $\mu_{a}$ in the matrix $W$ depends upon the cardinal elements of the lens and is given by (see the Appendix)

$$
\mu_{a}=M+\frac{Z}{f_{i}}
$$

where
$M$ - linear magnification of the lens,
$Z=z_{i}-z_{a}-$ the length of the (image) trajectory region of the lens (see fig. 2), $f_{i}$ - image focal length of the lens.
The dependence (18a) in the tensor notation has
the form

$$
\begin{equation*}
x_{i}=w_{i}^{\mu} x_{\mu} \quad(i, \mu=1, \ldots, 15) \tag{18b}
\end{equation*}
$$

The first rank tensor $x_{\mu}$ is an element of the vector $x_{0}$. In order to distinguish it form the vector elements $x_{0}^{*}$ it has been denoted with a greek index.

By substituting (18b) to (16) a deflection $\Delta \bar{x}_{1}$ in the $z_{1}$ plane become

$$
\begin{equation*}
\Delta x_{i 1}=\sum_{k, l, m, n}[k l m n]_{i 1} x_{0}^{k} y_{0}^{l} x_{a}^{m} y_{a}^{n} \tag{19}
\end{equation*}
$$

The four-dimensional matrix $[\mathrm{klmn}]_{i 1}$ in the relation (19) describes the aberration coefficient of the first deflecting-focusing system

$$
\begin{aligned}
& {[0000]_{i 1}=d_{i 1}^{11},} \\
& {[1000]_{i 1}=d_{i 1}^{12}+d_{i 1}^{14} \frac{\mu_{a} M}{Z},} \\
& {[0100]_{i 1}=d_{i 1}^{13}+d_{i 1}^{15} \frac{\mu_{a} M}{Z},} \\
& {[0010]_{i 1}=-d_{i 1}^{14} \frac{M}{Z},} \\
& {[0001]_{i 1}=-d_{i 1}^{15} \frac{M}{Z},} \\
& {[2000]_{i 1}=d_{i 1}^{22}+d_{i 1}^{24} \frac{\mu_{a} M}{Z}+d_{i 1}^{44} \frac{\mu_{a}^{2} M}{Z},} \\
& {[0200]_{i 1}=d_{i 1}^{33}+d_{i 1}^{35} \frac{\mu_{a} M}{Z}+d_{i 1}^{55} \frac{\mu_{a}^{2} M}{Z},} \\
& {[0020]_{i 1}=d_{i 1}^{44} \frac{M^{2}}{Z^{2}},} \\
& {[0002]_{i 1}=d_{i 1}^{55} \frac{M^{2}}{Z^{2}},} \\
& {[1100]_{i 1}=d_{i 1}^{23}+d_{i 1}^{25} \frac{\mu_{a} M}{Z}+d_{i 1}^{45} \frac{\mu_{a}^{2} M^{2}}{Z^{2}}} \\
& +d_{i 1}^{34} \frac{\mu_{a} M}{Z}, \\
& {[1010]_{i 1}=-d_{i 1}^{24} \frac{M}{Z}-2 d_{i 1}^{44} \frac{\mu_{a} M^{2}}{Z^{2}},} \\
& {[1001]_{i 1}=-d_{i 1}^{25} \frac{M}{Z}-d_{i 1}^{45} \frac{\mu_{a} M^{2}}{Z^{2}},} \\
& {[0101]_{i 1}=-d_{i 1}^{34} \frac{M}{Z}-2 d_{i 1}^{45} \frac{\mu_{a} M^{2}}{Z^{2}},} \\
& {[0101]_{i 1}=-u_{i 1}^{35} \frac{M}{Z}-2 d_{i 1}^{55} \frac{\mu_{a} M^{2}}{Z^{2}},} \\
& {[0011]_{i 1}=d_{i 1}^{45} \frac{M^{2}}{Z^{2}} .}
\end{aligned}
$$

The deflection $\Delta \bar{x}_{2}$ in the $z_{2}$ plane is caused by the deflecting errors of third order and is equal to

$$
\begin{equation*}
\Delta x_{i 2}=d_{i 2}^{j k} x_{j 1} x_{k 1} \tag{21}
\end{equation*}
$$

where $x_{i 1}$ is the element of column vector $\bar{x}_{1}$.

The coordinates of the Gaussian ray in the $z_{1}$ plane have the form [5]:

$$
\begin{equation*}
\bar{x}_{1}^{(g)}=E_{1} \bar{x}_{0}^{*}+\bar{x}_{1} \tag{22}
\end{equation*}
$$

where

$$
\begin{gathered}
E_{1}=\left[\begin{array}{ccccc}
1 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & L_{1} & 0 \\
0 & 0 & 1 & 0 & L_{1} \\
0 & 0 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 1
\end{array}\right] \\
\bar{x}_{1}=\operatorname{col}\left\{0, X_{1}, Y_{1}, X_{1}^{\prime}, Y_{1}^{\prime}\right\} \\
\bar{x}_{0}=\operatorname{col}\left\{1, x_{0}, y_{0}, x_{0}^{\prime}, y_{0}^{\prime}\right\} \\
\bar{x}_{1}^{(g)}=\operatorname{col}\left\{1, x_{1}^{(g)}, y_{1}^{(g)}, x_{1}^{\prime(g)}, y_{1}^{\prime(g)}\right\}
\end{gathered}
$$

The elements of the vector $\bar{x}_{1}$ are given in the formulae (17). The real ray coordinates in the $z_{1}$ plane are the sums of Gaussian coordinates and deflection $\Delta \bar{x}_{1}$, i.e.

$$
\begin{equation*}
x_{k 1}=e_{k 1}^{\prime m} x_{m}+X_{k 1}+d_{k 1}^{s t} x_{s} x_{t} \tag{23}
\end{equation*}
$$

Substituting (23) into (21) the deflection $\Delta \bar{x}_{2}$ in the $z_{2}$ plane becomes

$$
\begin{align*}
\Delta x_{i 2}= & d_{i 2}^{j k} d_{j 1}^{p q} d_{k 1}^{s t} x_{p} x_{q} x_{s} x_{t}+ \\
& +d_{j 1}^{m n} \varepsilon_{i 2}^{k k} e_{k 1}^{p} x_{m} x_{n} x_{p}+ \\
& \left(d_{i 2}^{j k} e_{j 1}^{n} e_{k 1}^{m}+d_{j 1}^{m n} \varepsilon_{i 2}^{j k} X_{k 1}\right) x_{m} x_{n}+ \\
& +\varepsilon_{i 2}^{j k} e_{k 1}^{m} X_{j 1} x_{m}+d_{i 2}^{j k} X_{j 1} X_{k 1}, \tag{24}
\end{align*}
$$

where

$$
\varepsilon_{i 2}^{k t}=\left\{\begin{array}{l}
d_{i 2}^{l k} \text { for } k>l, i \neq 1 \\
d_{i 2}^{k l} \text { for } k<l, i \neq 1 \\
2 d_{i 2}^{\prime \prime} \text { for } k=l, i \neq 1
\end{array}\right.
$$

The expression (24) which has the form of power series takes the form

$$
\begin{equation*}
\Delta x_{i 2}=\sum_{k, l, m, n}[k l m n]_{i 2} x_{0}^{k} y_{0}^{l} x_{a}^{m} y_{a}^{n} \tag{25}
\end{equation*}
$$

if (18) is taken into account. The elements of the matrix $[\mathrm{klmn}]_{i 2}$ are

$$
\begin{aligned}
& {[0010]_{i 2}=-\frac{M}{Z}\left[d_{i 2}^{24} X_{1}+d_{i 2}^{34} Y_{1}+2 d_{i 2}^{44} X_{1}^{\prime}+\right.} \\
& +d_{i 2}^{45} Y_{1}^{\prime}+2 d_{i 2}^{22} L_{1} X_{1}+d_{i 2}^{23} L_{1} Y_{1}+d_{i 2}^{24} L_{1} X_{1}^{\prime}+ \\
& \left.\quad d_{i 2}^{25} L_{1} Y_{1}^{\prime}+d_{i 2}^{14}+d_{i 2}^{12} L_{1}+\ldots\right] \\
& {[0001]_{i 2}=-\frac{M}{Z}\left[d_{i 2}^{13} L_{1}+d_{i 2}^{25} X_{1}+d_{i 2}^{35} Y_{1}+\right.} \\
& \quad+d_{i 2}^{45} X_{1}^{\prime}+2 d_{i 2}^{55} Y_{1}^{\prime}+d_{i 2}^{23} L_{1} X_{1}+2 d_{i 2}^{33} L_{1} Y_{1}+ \\
& \left.\quad+d_{i 2}^{34} L_{1} X_{1}^{\prime}+d_{i 2}^{35} L_{1} Y_{1}^{\prime}+d_{i 2}^{15}+\ldots\right]
\end{aligned}
$$

$$
[1010]_{i 2}=-\frac{M}{Z}\left[d_{j 1}^{14} \varepsilon_{i 2}^{j 2}+d_{j 1}^{12}\left(L_{1} \varepsilon_{i 2}^{j 2}+\varepsilon_{i 2}^{i 4}\right)+\right.
$$

$$
\left.+2 d_{i 2}^{22} L_{1}+d_{i 2}^{25}+\ldots\right]
$$

$$
\begin{gather*}
{[1001]_{i 2}=-\frac{M}{Z}\left[d_{j 1}^{15} \varepsilon_{i 2}^{j 2}+d_{j 1}^{12}\left(\varepsilon_{i 2}^{33} L_{1}+\varepsilon_{i 2}^{j 5}\right)+\right.} \\
\left.+d_{i 2}^{23} L_{1}+d_{i 2}^{25}+\ldots\right], \\
{[0110]_{i 2}=-\frac{M}{Z}\left[d_{j 1}^{14} \varepsilon_{i 2}^{33}+d_{j 1}^{13}\left(\varepsilon_{i 2}^{\prime 2} L_{1}+\varepsilon_{i 2}^{i 4}\right)+\right.} \\
\left.d_{i 2}^{23} L_{1}+d_{i 2}^{34}+\ldots\right], \\
{[0101]_{i 2}=-\frac{M}{Z}\left[d_{j 1}^{15} \varepsilon_{i 2}^{j 3}+d_{j 1}^{13}\left(\varepsilon_{i 2}^{13} L_{1}+\varepsilon_{i 2}^{\prime 5}\right)+\right.} \\
\left.2 d_{i 2}^{33} L_{1}+d_{i 2}^{35}+\ldots\right] . \tag{26}
\end{gather*}
$$

In the expression (25) there are 35 coefficients $[\mathrm{klmn}]_{i 2}$. The formulae (26) give only 6 coefficients, at the others concern the fifth and higher orders. In the expressions given above the sum of the exponents in the product $x_{0}^{k} y_{0}^{\prime} x_{a}^{m} y_{a}^{n} x_{x}^{p}{ }_{y}^{q}$ being smaller than or qual to 3 , some expressions in the parenthesis has been omitted.

The deflection $\Delta \bar{x}$ in the plane $z_{i}$ - caused by the third order aberrations in accordance with (14) depends upon the electron ray coefficient in the object plane $z_{0}$ and the aperture plane $z_{a}$ :

$$
\begin{equation*}
\Delta \bar{x}_{k}=s_{k}^{a \beta \gamma} \tilde{\tilde{x}}_{a} \tilde{x}_{\beta} \tilde{x}_{\gamma} \tag{27}
\end{equation*}
$$

where
$\tilde{x}_{a}-$ elements of the column vector:

$$
\begin{equation*}
\tilde{\bar{x}}_{0}=\operatorname{col}\left\{1, \tilde{x}_{0}, \tilde{y}_{0}, \tilde{x}_{a}, \tilde{y}_{a}\right\} \tag{28}
\end{equation*}
$$

$s_{k}^{a \beta_{\gamma}}$ - fourth rank tensor connected with the lens aberrations in the classical system.
On the basis of (18a)

$$
\begin{equation*}
\tilde{\tilde{x}}_{0}^{*}=W^{-1} x_{0}^{*}, \tag{29}
\end{equation*}
$$

where - in accordance with (7) -

$$
x_{0}^{*}=L x_{2}
$$

and

$$
L=\left[\begin{array}{ccccc}
1 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & -L & 0 \\
0 & 0 & 1 & 0 & -L \\
0 & 0 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 1
\end{array}\right], L=L_{1}+L_{2},
$$

Hence

$$
\begin{equation*}
\tilde{\tilde{x}}_{0}=F \bar{x}_{2} \tag{30}
\end{equation*}
$$

where

$$
F=W^{-1} L .
$$

The vector $\bar{x}_{2}$ in the $z_{2}$ plane is a sum of the ideal ray vector and the deflection $\Delta \bar{x}_{2}$ (see fig. 6)

$$
\begin{equation*}
\bar{x}_{2}=\bar{x}_{2}^{(g)}+\Delta \bar{x}_{2}, \tag{31}
\end{equation*}
$$

and on the other hand

$$
\begin{equation*}
\bar{x}_{2}^{(g)}=E_{2} \bar{x}_{1}+\bar{x}_{2} . \tag{32}
\end{equation*}
$$

The elements of the vector

$$
X_{2}=\operatorname{col}\left\{0, \mathrm{X}_{2}, Y_{2}, X_{2}^{\prime}, Y_{2}^{\prime}\right\}
$$

depend upon the deflecting field in the second deflection system and are

$$
\begin{gather*}
X_{2}=\mu_{0} k \int_{z_{1}}^{z_{2}}\left(z_{2}-z\right) H_{0}^{(2)}(z) d z \\
Y_{2}=-\mu_{0} k \int_{z_{1}}^{z_{2}}\left(z_{2}-z\right) V_{0}^{(2)}(z) d z  \tag{33}\\
X_{2}^{\prime}=\mu_{0} k \int_{z_{1}}^{z_{2}} H_{0}^{(2)}(z) d z \\
Y_{2}^{\prime}=-\mu_{0} k \int_{z_{1}}^{z_{2}} V_{0}^{(2)}(z) d z
\end{gather*}
$$

Like in the case of the first deflection system the matrix $E_{2}$ has the form

$$
E_{2}=\left[\begin{array}{ccccc}
1 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & L_{2} & 0 \\
0 & 0 & 1 & 0 & L_{2} \\
0 & 0 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 1
\end{array}\right] .
$$

By virtue of formulae (30)-(33)

$$
\begin{equation*}
\tilde{\tilde{x}}_{0}=F E \bar{x}_{0}^{*}+F \bar{X}+F E_{2} \Delta \bar{x}_{1}+F \Delta \bar{x}_{2} \tag{34}
\end{equation*}
$$

where

$$
E=E_{2} E_{1}, \bar{X}=E_{2} \bar{X}_{1}+\bar{X}_{2} .
$$

As for the matrices $E$ and $L$ we have $E=L^{-1}$ the matrix product satisfies

$$
F E=W^{-1} L E=W^{-1},
$$

and on the basis of (18a)

$$
\begin{equation*}
\tilde{x}_{a}=x_{a}+F_{a}^{n} X_{n}+F_{a}^{n} e_{2 n}^{i} \Delta x_{i 1}+F_{a}^{n} \Delta x_{n 2} . \tag{35}
\end{equation*}
$$

The last two expressions in (35) take account of the higher order aberrations (fifth and seventh), and therefore may be omitted, i.e.

$$
\begin{equation*}
\tilde{x}_{a}=x_{a}+F_{a}^{n} X_{n} . \tag{36}
\end{equation*}
$$

By substituting the obtained expressions to (27) we get the following relation for $\Delta x_{i}$ in the $z_{i}$ plane

$$
\begin{align*}
& \frac{\Delta x_{k}}{}=s_{k}^{a \beta p}\left(F_{\beta}^{n} X_{n} x_{a} x_{\gamma}+F_{a}^{m} X_{m} x_{\beta} x_{\nu}+F_{\gamma}^{p} X_{p} x_{a} x_{\beta}+\right. \\
& \quad+F_{a}^{m} F_{\beta}^{n} X_{m} X_{n} x_{\gamma}+F_{\beta}^{n} F_{\gamma}^{p} X_{n} X_{p} x_{a}+F_{a}^{m} F_{\gamma}^{p} X_{m} X_{p} x_{\beta}+ \\
& \left.\quad+F_{a}^{m} F_{\beta}^{n} F_{\gamma}^{p} X_{m} X_{n} X_{p}\right)+s_{k}^{a \beta{ }_{x}} x_{a} x_{\beta} x_{\gamma} . \tag{37}
\end{align*}
$$

The term $s_{k}^{n \beta \gamma} x_{a} x_{\beta} x_{\gamma}$ in (37) gives the aberration value of the lens working in the classical system (an electron beam runs along the electronooptic axis from [7]); the other terms being caused by the screw trajectory of the electron beam in the region of focu-
sing field of the lens. The formulae (37) may be represented in form of a power series

$$
\begin{equation*}
\Delta x_{k}=\sum_{k, 1, m, n} \underline{[k l m n]_{k}} x_{0}^{k} y_{0}^{l} x_{a}^{m} y_{a}^{n} \tag{38}
\end{equation*}
$$

The relations (18), (25) and (38) enable to determine the coordinates of the intersection point $\bar{x}_{R}$ of the real ray with the working plane $z_{i}$. In accordance with fig. 6 vector $\bar{x}_{R}$ has the form

$$
\begin{equation*}
\bar{x}_{R}=\bar{x}_{i}+\Delta \bar{x}, \tag{39}
\end{equation*}
$$

where the coordinates of $\bar{x}_{i}$ vector are Gaussian coordinates of the electron ray in the image plane. This ray in the $z_{2}$ plane has the coordinate equal to $\bar{x}_{2}$. In view of the dependence $\mu_{i}^{\prime}=-1 / f_{i}, \quad v_{i}^{\prime}=M^{-1}$ and the vector (1) and (7) we have

$$
\begin{equation*}
\bar{x}_{i}=S_{i} \bar{x}_{2} \tag{40}
\end{equation*}
$$

where

$$
\begin{gathered}
\bar{x}_{i}=\operatorname{col}\left\{1, x_{i}, y_{i}, x_{i}^{\prime}, y_{i}^{\prime}\right\}, \\
\bar{x}_{2}=\operatorname{col}\left\{1, x_{2}, y_{2}, x_{2}^{\prime}, y_{2}^{\prime}\right\}, \\
S_{i}=\left[\begin{array}{ccccc}
1 & 0 & 0 & 0 & 0 \\
0 & M & 0 & -M L & 0 \\
0 & 0 & M & 0 & -M L \\
0 & \frac{-1}{f_{i}} & 0 & M^{-1}+\frac{L}{f_{i}} & 0 \\
0 & 0 & \frac{-1}{f_{i}} & 0 & M^{-1}+\frac{L}{f_{i}}
\end{array}\right] .
\end{gathered}
$$

On the other hand, taking account of (22), (31) and (32) we get

$$
\bar{x}_{2}=E \bar{x}_{0}^{*}+\bar{X}+E_{2} \Delta \bar{x}_{1}+\Delta \bar{x}_{2} .
$$

Substituting the last expression to the (39) we obtain for the vector $\bar{x}_{R}$

$$
\begin{align*}
\bar{x}_{R}= & S_{i} E \bar{x}_{0}^{*}+ \\
& +S_{i} \bar{X}+S_{i} E_{2} \Delta \bar{x}_{1}+S_{i} \Delta \bar{x}_{2}+\Delta \bar{x} \tag{41}
\end{align*}
$$

The coordinates of Gaussian ray in the $z_{i}$ plane determined on the basis of equation (22), (32) and (40) are

$$
\begin{equation*}
\bar{x}_{g}=S_{i} \bar{x}_{2}^{(g)}=S_{i} E \bar{x}_{0}^{*}+S_{i} \bar{X} \tag{42}
\end{equation*}
$$

The relation obtained allows to determine the aberrations of the deflecting-focusing system in the working plane $z_{i}$. By virtue of (41) and (42) we have namely

$$
\begin{align*}
\Delta \bar{x}= & \bar{x}_{R}-\bar{x}_{g} \\
& =S_{i} E_{2} \Delta \bar{x}_{1}+S_{i} \Delta \bar{x}_{2}+\Delta \bar{x} \tag{43}
\end{align*}
$$

The deflection $\Delta \bar{x}$ in the working plane $z$ depends on the value $X_{i}, Y_{i}(i=12)$ of the electron ray deviation in the deflecting systems and on the initial coor-
dinates $x_{0}, y_{0}, x_{a}, y_{a}$. The final formulae have a considerably simpler form, if for DD the condition of correct operation is fulfilled [5]:

$$
\begin{equation*}
\frac{a_{x}}{b_{x}}=\frac{1}{r_{x}}, \frac{a_{v}}{b_{y}}=\frac{1}{r_{y}} \tag{44}
\end{equation*}
$$

where

$$
\frac{1}{r_{x}}=\frac{\int_{-\infty}^{\infty} H_{0}^{(2)} d z}{\int_{-\infty}^{\infty} H_{0}^{(1)} d z}, \frac{1}{r_{y}}=\frac{\int_{-\infty}^{\infty} V_{0}^{(2)} d z}{\int_{-\infty}^{\infty} V_{0}^{(1)} d z},
$$

and $a(b)$ denotes the distance between the deflecting plane of the first (second) deflecting system and the plane $z_{p}$ (comp. fig. 3). In this case, on the basis of (13) the following relations are true

$$
\begin{align*}
& X_{1}=\mu_{0} k\left(z_{1} \int_{z_{0}}^{z_{1}} H_{0}^{(1)} d z-\int_{z_{0}}^{z_{1}} z H_{0}^{(1)} d z\right) \\
&=-r_{x} p_{1 x} \gamma_{x}  \tag{45}\\
& X_{1}^{\prime}=\mu_{0} k \int_{z_{0}}^{z_{1}} H_{0}^{(1)} d z=-r_{x} \gamma_{x}
\end{align*}
$$

similarly

$$
\begin{gathered}
Y_{1}=-r_{y} p_{1 y} \gamma_{y}, \quad Y_{1}^{\prime}=-r_{y} \gamma_{y}, \quad X_{2}=p_{2 x} \gamma_{x} \\
X_{2}^{\prime}=\gamma_{x}, \quad Y_{2}=p_{2 y} \gamma_{y}, \quad Y_{2}^{\prime}=\gamma_{y}
\end{gathered}
$$

Fig. 3. explains the significance of the values $p_{1}, p_{2}$ used in formulae (45). By taking account of (19), (25) and (38) the deviation $\Delta \bar{x}$ in the working plane $z_{i}$ may be presented in form of the series

$$
\begin{equation*}
\Delta x_{i}=\sum_{k, l, m, n}[k l m n]_{i} x_{0}^{k} y_{0}^{l} x_{a}^{m} y_{a}^{n} \tag{46}
\end{equation*}
$$

In the theory of the electronooptic system aberration only two components of the vector $\Delta \bar{x}$ in the $x$ and $y$ directions are of interest. These are: $\Delta x_{2}=\Delta x$ and $\Delta x_{3}=\Delta y$. By virtue of the relation (43) and the series (19), (25), and (38) the aberration coefficients of the deflecting-focusing system for the directions $x$ and $y$ have the form

$$
\begin{align*}
& {[k l m n]_{x}=\overline{[k l m n}_{x}+[\underline{[k l m n}]_{x}} \\
& {[k \operatorname{klmn}]_{y}={\overline{[k l m n}]_{y}}_{y}+[\mathrm{[klmn}]_{y}} \tag{47}
\end{align*}
$$

where:

$$
\begin{align*}
\underline{[k l m n}_{x}= & {\left.[\underline{[k l m n}]_{2}, \underline{[k l m n}\right]_{y}=[k l m n]_{3} } \\
\overline{[k l m n}_{x}= & M\left\{\left([k l m n]_{21}-L_{1}[k l m n]_{41}\right)+\left([k l m n]_{22}-\right.\right. \\
& \left.\left.-L[k l m n]_{42}\right)\right\}, \\
\overline{[k l m n}_{y}= & M\left\{\left([k l m n]_{31}-L_{1}[k l m n]_{51}\right)+\left([k l m n]_{32}-\right.\right. \\
& \left.\left.-L[k l m n]_{52}\right)\right\} . \tag{48}
\end{align*}
$$

The coefficients $[\overline{k l m n}]_{x, y}$ introduced above describe the lens aberration with electron beam running obliquely in the focusing region, while the coefficients $[\overline{k l m n}]_{x, y}$ denote aberrations of DD referred to the working surface for an aberrationless end lens.

## 7. An analysis of the results

In the up to now considerations the aberration coefficients $[\overline{k l m n}]$ of DD, and $[k l m n]$ of lens have been analysed separately. A detailed analysis of the aberration coefficients for deflecting-focusing system has been performed in paper [8]. In the present paper only the final conclusions are formulated.

### 7.1. Astigmatism and field curvature of the image $\mathbf{D D}[k l m n](k=1=0, m+n=1)$

This error is caused by the deflection astigmatism of the deflection systems. The isotropic and anisotropic astigmatisms depend respectively on isotropic and anisotropic astigmatism of the corresponding deflecting systems. Moreover, the astigmatism is enlarged additionally by coma of the second deflection system (positioned near the lens). For identical vertical and horizontal coils of DD the astigmatism coefficients have the form

$$
\begin{align*}
& {\left[{\overline{[0010}]_{x}}=\frac{M^{2}}{Z}\left(A \gamma_{x}^{2}+B \gamma_{y}^{2}\right),\right.} \\
& {\overline{[0001]_{y}}}_{y}=\frac{M^{2}}{Z}\left(\mathrm{~A} \gamma_{x}^{2}+B \gamma_{y}^{2}\right),  \tag{49}\\
& \left.\overline{[0001]}_{x}=\overline{[0010}\right]_{y}=\frac{M^{2}}{Z} C \gamma_{x} \gamma_{y},
\end{align*}
$$

where
$A, B, C-\begin{aligned} & \text { constants depending upon the deflec- } \\ & \text { ting coils shape, }\end{aligned}$
$\gamma_{x}, \gamma_{y}-$ tangents of beam deflection angles by
DD,
$M-$ linear magnification of lens,
$Z-$ length of image trajectory region of
the lens.

The rotation angle of the ellipse with respect to the $x$-axis, and the length of the astigmatic ellipse semiaxis caused by the deflection astigmatism DD are

$$
\begin{gathered}
\tan 2 \delta=\frac{C}{A-B} \tan 2 \Phi_{i} \\
\frac{R^{2}}{2 L^{2}}\left[A+B \pm(A-B) \frac{\cos 2 \Phi_{i}}{\cos 2 \delta}\right] \omega .
\end{gathered}
$$

The sign " + " in the above formula is assigned to the large semiaxis, while "-" to the small one. The
magnitudes

$$
R_{t}^{2}=x_{i}^{2}+y_{i}^{2}
$$

depend upon the central ray coordinates of the Gaussian beam in the $z_{i}$ plane (comp. formula (10)), $L$ is the length DD along the electronooptic axis

$$
\tan \Phi_{i}=y_{i} / x_{i}
$$

while $\omega$ is an aperture half-angle of the electron beam.

> 7.2. Astigmatism and field curvature
> of the image $[k l m n](k=l=0, m+n=1)$

For identical vertical and horizontal coils the astigmatism coefficients have the form

$$
\begin{align*}
\mathrm{[0010}_{x} & =K \gamma_{x}^{2}+G \gamma_{y}^{2}+R \gamma_{x} \gamma_{y}, \\
{[0001]_{y} } & =K \gamma_{x}^{2}+G \gamma_{y}^{2}-R \gamma_{x} \gamma_{y},  \tag{50}\\
{[0000]_{y} } & =-N \gamma_{x}^{2}-P \gamma_{y}^{2}+Q \gamma_{x} \gamma_{y}, \\
{[0001]_{x} } & =N \gamma_{x}^{2}+P \gamma_{y}^{2}+Q \gamma_{x} \gamma_{y},
\end{align*}
$$

where

$$
\begin{align*}
& K=B h_{3}^{2}+2 B h_{2}^{3}+2 C h_{1}^{2}+D h_{1}^{2}-6 F h_{1} h_{2}, \\
& G=B h_{4}^{2}+D h_{2}^{2}-2 F h_{2} h_{4}, \\
& R=-2 G_{2} h_{1} h_{2}+4 G_{3} h_{2} h_{3}, \\
& N=-2 F h_{1}^{2}-2 G_{3} h_{1} h_{3},  \tag{51}\\
& P=2 F h_{2}^{2}+2 G_{3} h_{2} h_{4}, \\
& Q=2 B h_{3} h_{4}+2 C h_{1} h_{2}-2 F h_{1} h_{4}+h_{2} h_{3} .
\end{align*}
$$

Constants $B, C, \ldots, G_{3}$ in the formulae (51) are third order aberration coefficients of the lens [7] ( $B$-spherical aberration coefficient, $C$-isotropic astigmatism, $D$-image field curvature, $F$-isotropic coma, $G_{2}$ and $G_{3}$-anisotropic astigmatism and coma). The constants $h_{i}(i=1, \ldots, 4)$ depend upon the linear DD and amount to

$$
\begin{align*}
& h_{1}=p_{2 x}-L-r_{x}\left(p_{1 x}-L_{1}\right), \\
& h_{2}=p_{2 y}-L-r_{y}\left(p_{1 y}-L_{1}\right), \\
& h_{3}=\mu_{a} h_{1}-r_{x} \frac{Z}{M},  \tag{52}\\
& h_{4}=\mu_{d} h_{2}-r_{y} \frac{Z}{M} .
\end{align*}
$$

For identical vertical and horizontal coils $\left(h_{1}=h_{2}\right.$, $h_{3}=h_{4}$ ) the rotation angle $\delta$ of the astigmatic ellipse is

$$
\tan 2 \delta=\frac{(N-P) \cos 2 \Phi_{i}+Q \sin 2 \Phi_{i}}{Q \cos 2 \Phi_{i}+R \sin 2 \Phi_{i}}
$$

If the lens does not introduce coma or anisotropic astigmatism ( $F=G_{2}=G_{3}=0$ ) then $N-P=R=0$ and the rotation angle of the ellipse is equal to the angle $\Phi_{i}$, while the semiaxis amounts to

$$
\frac{1}{2} Z\left(\frac{R_{i}}{M L}\right)^{2}|K+G \pm Q| \omega
$$

### 7.3. Astigmatism of imaging

by $\mathbf{D D}[k \operatorname{lm} n](k+l=\mathbf{1}, m+n=\mathbf{1})$
The error is caused by astigmatism and coma of the deflection of both the deflection systems and is partially compensated (the astigmatism coefficients for the first and second deflecting systems have opposite signs). In the case of identical horizontal and vertical lenses the coefficients [klmn] have the form

$$
\begin{aligned}
& {\overline{[0110]_{x}}}=\frac{M^{2}}{Z} A \gamma_{y}, \\
& \overline{[1001]}_{y}=\frac{M^{2}}{Z} A \gamma_{x}, \\
& {\left[\overline{[0110]}_{y}=\frac{M^{2}}{Z} B \gamma_{x},\right.} \\
& {\left[\overline{[1001]}_{x}=\frac{M^{2}}{Z} B \gamma_{y},\right.} \\
& {\left[\overline{[1010]}_{x}=\frac{M^{2}}{Z} G \gamma_{x},\right.} \\
& {[0101]_{y}=\frac{M^{2}}{Z} C \gamma_{y},} \\
& {[1010]_{y}=\frac{M^{2}}{Z} D \gamma_{y},} \\
& {\left[\overline{[0101]}_{x}=\frac{M^{2}}{Z} D \gamma_{x} .\right.}
\end{aligned}
$$

where the constants $A, \ldots, D$ depend upon the shape of the deflecting coils. In the case when the deflecting coils length $2 l_{1}, 2 l_{2}$ are small the following approximate relation holds

$$
A=B=-C=D
$$

and the semiaxes of ellipse are

$$
\sqrt{2} M A \frac{r_{0} R_{i}}{L}\left\{\begin{array}{l}
\cdot\left|\sin \left(\frac{\pi}{4}+\Phi_{i}+\varphi_{0}\right)\right| \\
\cdot \left\lvert\, \cos \left(\frac{\pi}{4}+\Phi_{i}+\varphi_{0}\right)\right.
\end{array}\right.
$$

where

$$
r_{0}^{2}=x_{0}^{2}+y_{0}^{2}, \tan \varphi_{0}=\frac{y_{0}}{x_{0}}
$$

### 7.4. Astigmatism of imaging by lens

$[k l m n](k+l=1, m+n=1)$

The coefficient of astigmatism for equal vertical and horizontal coils are

$$
\begin{align*}
& {\underline{[1001]_{x}}}=P \gamma_{x}+R \gamma_{y}, \\
& \underline{[0101]}_{x}=Q \gamma_{x}+S \gamma_{y}, \\
& {\underline{[1010]_{y}}}_{y}=Q \gamma_{y}-S \gamma_{x}, \\
& {\underline{[0110]_{y}}}=R \gamma_{x}-P \gamma_{y},  \tag{55}\\
& {[1001]_{y}=N \gamma_{x}-T \gamma_{y},} \\
& {[0101]_{y}=K \gamma_{y}-M \gamma_{x}}
\end{align*}
$$

where:

$$
\begin{gather*}
K=4 C h_{1}+2 D h_{1}-6 F h_{3}, \\
R=2\left(C h_{1}-F h_{3}\right), \\
N=2\left(D h_{1}-F h_{3}\right), \\
T=-2 G_{2} h_{1}+6 G_{3} h_{3},  \tag{56}\\
M=-2\left(G_{2} h_{1}+G_{3} h_{3}\right), \\
S=-2\left(G_{2} h_{1}-G_{3} h_{3}\right), P=-S .
\end{gather*}
$$

The constants $C, \ldots, G_{3}$ in the formulae (56) are the third order aberrations of the end lens. The axes of the astigmatism ellipse have the form

$$
\begin{aligned}
\left.\frac{Z}{M L} r_{0} R_{i} \right\rvert\, \sqrt{R^{2}+S^{2}} & \pm \frac{K+N}{2} \cos \left(\Phi_{i}-\varphi_{0}\right)- \\
& \left.-\frac{T-M}{2} \sin \left(\Phi_{i}-\varphi_{0}\right) \right\rvert\, \omega
\end{aligned}
$$

while the rotation angle $\delta$ of ellipse is

$$
\tan 2 \delta=\frac{R \sin \left(\Phi_{i}+\varphi_{0}\right)-S \cos \left(\Phi_{i}+\varphi_{0}\right)}{S \sin \left(\Phi_{i}+\varphi_{0}\right)+R \cos \left(\Phi_{i}+\varphi_{0}\right)} .
$$

If the end lens does not introduce any anisotropic aberrations of defocusing ( $G_{2}=G_{3}=0$ ), then the rotation angle of the astigmatic ellipse is

$$
\delta=\frac{\left(\Phi_{i}+\varphi_{0}\right)}{2}
$$

### 7.5. Coma and anticoma

of $\mathrm{DD} \overline{[k / m n]}(m+n=2, k=l=0)$

The deviation caused by coma and anticoma are partially compensated by each other. For identical horizontal and vertical coils the coma coefficient have
the form

$$
\begin{align*}
& \overline{[0020}_{x}=\frac{M^{3}}{Z^{2}} \lambda \gamma_{x}, \\
& \overline{[0020]}_{y}=\frac{M^{3}}{Z^{2}} \varepsilon \gamma_{y}, \\
& \overline{[0011]}_{x}=\frac{M^{3}}{Z^{2}} \eta \mathrm{x}_{y}, \\
& \overline{[0002]}_{y}=\frac{M^{3}}{Z^{2}} \lambda \gamma_{y},  \tag{57}\\
& \overline{[0002]}_{x}=\frac{M^{3}}{Z^{2}} \varepsilon \gamma_{x}, \\
& \overline{[0011]}_{y}=\frac{M^{3}}{Z^{2}} \eta \gamma_{x} .
\end{align*}
$$

The constants $\lambda, \varepsilon, \eta$ depend upon the shape of the deflecting coils. The centre of the ellipse family is positioned on the straight line inclined to the $x$-axis by an angle $\Phi_{i}$, and is located from the $\left(x_{i}, y_{i}\right)$ point by a distance

$$
\frac{R_{i} M^{2}}{2 L}(\lambda+\varepsilon) \omega^{2} .
$$

The ellipse semiaxes are:

$$
\begin{aligned}
& \text { - long semiaxis }-\frac{R_{i} M^{2}}{2 L}|\lambda-\varepsilon| \omega^{2}, \\
& - \text { short semiaxis }-\frac{R_{i} M}{2 L}|\eta| \omega^{2} .
\end{aligned}
$$

### 7.6. Deflection coma of the deflecting-focusing system [klmn]

$$
(m+n=2, k=l=0)
$$

The coefficients of coma for identical vertical and horizontal coils are

$$
\begin{align*}
& { }_{[0020]_{x}}=K \gamma_{x}+T \gamma_{y}, \\
& { }_{[0002]_{x}}=K \gamma_{y}-T \gamma_{x}, \\
& {[0011]_{x}=M \gamma_{x}+N \gamma_{y},} \\
& {[0011]_{y}=M \gamma_{y}-N \gamma_{x},}  \tag{58}\\
& {[0002]_{y}=P \gamma_{x}+Q \gamma_{y},} \\
& {[0020]_{y}=P \gamma_{y}-Q \gamma_{x},}
\end{align*}
$$

where

$$
\begin{gathered}
P=B h_{3}-F h_{1}, Q=G_{3} h_{1} \\
K=3 P, M=2 P, L=3 Q, N=-2 Q
\end{gathered}
$$

Constants $B, \ldots, G_{3}$ are the third order aberrations of the lens. Coma of the deflecting lens results in
a family of circles of radius

$$
\frac{R_{i} Z^{2}}{M L} \sqrt{P^{2}+Q^{2}} \omega^{2}
$$

the centre of which lies on the straight line inclined to the $x$-axis by the angle $\beta$ :

$$
\tan \beta=\frac{P \gamma_{y}-Q \gamma_{x}}{P \gamma_{x}+Q \gamma_{x}}
$$

This centre is shifted from the point $\left(x_{i}, y_{i}\right)$ by the value

$$
\frac{2 R_{i} Z^{2}}{M L} \sqrt{P^{2}+Q^{2}} \omega^{2} .
$$

If the lens does not introduce the third order coma, i.e. $F=G_{3}=0$, the deflection coma of lens depends upon the expression

$$
\frac{Z^{2} h_{3}}{M L} R_{i} B \omega^{2}
$$

where $B$ is a spherical aberration coefficient of the lens.
7.7. Coma of the deflect ion-focusing system [klmn]

$$
(k=1 \text { or } l=1, m+n=2)
$$

The kind of aberration is caused only by coma of the end lens and does not depend upon the deflection angle $\gamma_{x}, \gamma_{y}$ by DD.

### 7.8. Spherical aberration

 of the deflecting-focusing system [ klmn ]$$
(k+l=3, m=n=0)
$$

It is caused by the spherical aberration of the end lens and does not depend upon the deflecting angle $\gamma_{x}, \gamma_{y}$ due to DD. The diameter of the confusion circle referred to the object plane of the lens is

$$
\delta_{r}=C_{s}\left(\omega^{3}\right.
$$

where

$$
C_{s}=\frac{B z^{3}}{M}
$$

### 7.9. Deflection distortion

 of $\mathbf{D D}[k l m n](k=l=m=n=0)$It is caused by the deflection distortion of the first and second deflecting systems, as well as by astigmatism and coma of the second system. A partial compensation takes place. The distortion coefficients for equal
vertical and horizontal coils have the form

$$
\begin{align*}
& {\overline{[0000}]_{x}}=M\left(A \gamma_{x}^{3}+B \gamma_{x} \gamma_{y}^{2}\right),  \tag{59}\\
& {\overline{[0000}]_{y}}^{y}=M\left(A \gamma_{y}^{3}+B \gamma_{y}^{2} \gamma_{x}^{2}\right),
\end{align*}
$$

The constant $A$ in (59) is responsible for linear errors (ladder distortion), while $B$ causes either pillow--type distortion ( $B<0$ ) or barrel-type distortion ( $B>0$ ).

$$
\begin{aligned}
& \text { 7.10. Deflection distortion } \\
& \text { of the deflecting-focusing system }[\mathrm{klmn}] \\
& (k=l=m=n=0)
\end{aligned}
$$

This is caused by third order aberrations of the lens. For equal vertical and horizontal coils the distortion coefficients amount to

$$
\begin{align*}
& {[0000]_{x}=-K\left(\gamma_{x}^{2}+\gamma_{y}^{2}\right) \gamma_{x}+L\left(\gamma_{x}^{2}+\gamma_{y}^{2}\right) \gamma_{y}} \\
& {[0000]_{y}=-K\left(\gamma_{x}^{2}+\gamma_{y}^{2}\right) \gamma_{y}-L\left(\gamma_{x}^{2}+\gamma_{y}^{2}\right) \gamma_{x}} \tag{60}
\end{align*}
$$

The constant $K$ is responsible for the isotropic distortion. The expression $-K \gamma_{x}^{2}$ in the first formula and $-K \gamma_{y}^{3}$ in the second one, determine the magnitude of the ladder distortion, while the constant $L$ specifies the anisotropic distortion. From the above consideration it follows that the deflection distortion of the deflecting-focusing system is anisotropic. The anisotropy is introduced by the anisotropic aberrations of the end lens, i.e. by $G_{1}$ - the anisotropic distortion, $G_{2}$ - astigmatism, and $G_{3}$ - anisotropic coma:

$$
L=G_{1} h_{1}^{3}-G_{2} h_{1}^{2} h_{3}+G_{3} h_{1} h_{2}^{3}
$$

### 7.11. Distortion of imaging of DD [k/mn]

The coefficient of the first order distortion $(k+l=$ $=1, m=n=0$ ) for equal vertical and horizontal coils have the form

$$
\begin{align*}
& {\overline{[1000]_{x}}}_{x}=M\left(A \gamma_{x}^{2}+B \gamma_{y}^{2}\right) \\
& {\overline{[0100]_{y}}}_{y}=M\left(A \gamma_{y}^{2}+B \gamma_{x}^{2}\right)  \tag{61}\\
& {\overline{[0100]_{x}}}_{x}=\overline{[1000]_{y}^{2}}=M C \gamma_{x} \gamma_{y}
\end{align*}
$$

The constants $A, B$, and $C$ depend upon the shape of the deflecting coils. The coefficients of second order distortion ( $k+l=2, m=n=0$ ) for equal vertical and horizontal coils are

$$
\begin{aligned}
& {\overline{[2000]_{x}}}=M D \gamma_{x},\left[\overline{[0200]}_{y}=M D \gamma_{y}\right. \\
& {\overline{[2000]_{y}}}_{y}=M E \gamma_{y}, \overline{[0200]}_{x}=M E \gamma_{x} \\
& \overline{[1100]_{y}}=M F \gamma_{y}, \overline{[1100]_{y}}=M F \gamma_{x}
\end{aligned}
$$

Similarly as above the constants $D, E$, and $F$ depend upon the shape of the deflecting coils. In the limiting case when the lengths $2 l_{1}, 2 l_{2}$ of the deflecting coils are small than for the constants $D, E$, and $F$ the following relation holds

$$
2 F=E=-D
$$

### 7.12. Distortion of imaging lens

The first order coefficients of the imaging lens distortion for equal horizontal and vertical coils have the form

$$
\begin{align*}
& \underline{[2000]_{x}}=G \gamma_{x}^{2}+T \gamma_{x} \gamma_{y}+V \gamma_{z}^{2} \\
& {\underline{[0200]_{x}}}_{x}=H \gamma_{x}^{2}+S \gamma_{x} \gamma_{y}+Z \gamma_{y}^{2} \\
& \underline{[2000]_{y}}=-H \gamma_{y}^{2}+S \gamma_{x} \gamma_{y}-Z \gamma_{x}^{2}  \tag{63}\\
& \underline{[0200]_{y}}=G \gamma_{y}^{2}-T \gamma_{x} \gamma_{y}+V \gamma_{x}^{2}
\end{align*}
$$

while for the second order we have

$$
\begin{align*}
& \frac{[2000]_{x}}{}=K \gamma_{x}+N \gamma_{y}, \\
& {[1100]_{x}=S \gamma_{x}+Q \gamma_{y},} \\
& {\underline{[0200]_{x}}}^{[20}=M \gamma_{x}+P \gamma_{y},  \tag{64}\\
& {[2000]_{y}=M \gamma_{y}-P \gamma_{x},} \\
& {[0100]_{y}=-S \gamma_{y}+Q \gamma_{x},} \\
& {[0200]_{y}=K \gamma_{y}-N \gamma_{x} .}
\end{align*}
$$

The constants $G, T, V$, and $Z$ as well as $K, S, M, N$, $Q$, and $P$ depend upon the third order aberrations of the lens.

## 8. Final remarks

The analysis carried above allows to discuss the influence of the single aberrations on the electron beam cross-section in the $z_{i}$ plane [8]. The formulae allow to determine the aberration values, when third order aberrations of the lens and deflecting errors of the deflecting units are known.

## Appendix

By virtue of (1)

$$
u_{a}=u_{0} \mu+u_{0}^{\prime} v
$$

where $u_{a}=u\left(z_{a}\right), \mu_{a}=\mu\left(z_{a}\right), v_{a}=v\left(z_{a}\right)$. Hence

$$
\begin{equation*}
u_{0}^{\prime}=\frac{u_{a}}{v_{a}}-\frac{\mu_{a}}{v_{a}} u_{0} \tag{I}
\end{equation*}
$$

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In view of fig. I

$$
\begin{gathered}
Z=z_{i}-z_{a}, \mathrm{t} \tan \mathrm{~B}_{i}=\frac{v_{a}}{Z} \\
\nu_{i}^{\prime}=\tan \left(\pi-\beta_{i}\right)=-\tan \beta=\frac{-v_{a}}{Z}=\frac{1}{M}
\end{gathered}
$$

The last dependence follows from the Wronskian in the image plane $z_{i}$

$$
W\left(z_{i}\right)=\left|\begin{array}{cc}
u & 0 \\
\mu_{i}^{\prime} & v_{i}^{\prime}
\end{array}\right|=1
$$

Hence the expression (I) takes the form

$$
\begin{equation*}
u_{0}^{\prime}=\frac{\mu_{a} M}{\bar{Z} u} u_{0}-\frac{M}{Z} u . \tag{II}
\end{equation*}
$$

From fig. I it follows

$$
\begin{aligned}
\mu_{a}^{\prime} & =\mu_{i}^{\prime}=\frac{-1}{f_{i}} \\
v_{a}^{\prime}=v_{i}^{\prime} & =\frac{1}{M}
\end{aligned}
$$

As

$$
W\left(z_{a}\right)=\left|\begin{array}{cc}
\mu_{a} & v_{a} \\
\mu_{a}^{\prime} & v_{a}^{\prime}
\end{array}\right|=1
$$

in the plane $z_{a}$ then

$$
\begin{equation*}
\frac{\mu_{a}}{M}-\frac{Z}{f_{i} M}=1 \tag{III}
\end{equation*}
$$



Fig. I. Course of the principal rays in the lens


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## Аберрации третьего порядка отклоняюще: фокусирующей схемы

Произведен линейный анализ отклоняюще-фокусирующей схемы, применяемой в анализирующих микроскопах. Приведены коэффициенты аберрации третьего порядка и обсуждены двенадцать видов аберрации отклонения и отображения отклоняюще-фокусирующей схемы. Введенные формулы позволяют определить величины аберрации, когда извесгны аберрации третьего порядка линзы и погрешности отклонения отклоняющих схем DD.

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