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FELIX HAUSDORFF

Felix Hausdorff was born in Wrocław (at that time Breslau) on 8th November 1868 and died in Bonn on 26th January 1942. He studied mathematics at Leipzig, Freiburg and Berlin between 1887 and 1891 (dissertation).



Postcard of Wrocław from the times Hausdorff's childhood and education

He started research in applied mathematics related to the work of his teacher, astronomer H. Bruns. After his habilitation in 1895, he taught at Leipzig University and a local commercial school. He moved in a milieu of Leipzig intellectuals and artists, strongly influenced by the early work of F. Nietzsche, striving for a cultural modernization of late 19th century Germany.

Between 1897 and 1904, with some additional later contributions, Hausdorff published two philosophical books, a poem collection, and a satirical theatre play under the pseudonym Paul Mongré. The play ridiculed the honor codex of late 19th century German *Bildungsbürger* adapting to the Wilhelminean officer corps. At the time it was quite successful. It had about 300 performances between 1904 and 1930 in about 40 towns, among them Berlin, Budapest Prague, Strasbourg, Vienna, and Zurich. Moreover, Mongré regularly contributed cultural critical essays to the *Neue Deutsche Rundschau*, a leading intellectual journal. In his second book, *The Chaos in Cosmic Selection* (1898), he critically decomposed metaphysical remnants in contemporary concepts of space and time. He combined Nietzschean and Kantian views and enriched them with mathematical arguments in terms of Cantorian set theory and stepwise generalized transformations, comparable to F. Klein's approach in the *Erlanger Programm*. In Hausdorff's perspective, the generalization of transformations from Euclidean via differentiable and continuous to any point transformation would lead to a general transfinite set as symbol for some structure less fictitious "absolute". The progression of "absolute" or "transcendent" time might then be perceived as *any* order structure on the set of the "transcendent" world content, without any perceivable relation to the order of the empirical or phenomenological time ordering. That served Mongré as an argument that "the absolute" has to be considered as essentially void of objective meaning. He thus proudly proclaimed the "end of metaphysics".

Hausdorff married Charlotte Sara Goldschmidt in Leipzig in 1899. During this period, Hausdorff reoriented his mathematical work towards the new field of transfinite set theory. He gave one of the first lecture courses on the topic in the summer of 1901 and contributed important results, among others the *Hausdorff recursion* for aleph exponentiation and deep methods for the classification of order structures (confinality, gap types, general ordered products, and η_α sets). Hausdorff considered the contemporary attempts to secure axiomatic foundations for set theory as premature. Working on the basis of a "naive" concept of set (expressedly understood as a semiotic tool of thought), he nevertheless achieved an exceptionally high precision of argumentation. Although his set theoretical studies prior to 1910 concentrated on order structures (remember that this earliest interest in transfinite set theory was triggered by the tremendous amount of possible different modes of progression of a fictitious "transcendent time point" in a transfinite set), he contributed crucial insights in foundational questions. Most important were his *maximal chain principle* (related to Zorn's lemma, but different from it), a characteriza-

tion of *weakly inaccessible cardinals* (in present terminology) and the *universality property* for order structures of what he called “ η_α sets”. The latter became one of the roots of “saturated structures” in model theory of the 1960s. Moreover, Hausdorff hit upon the importance of the *generalized continuum hypothesis* in his studies of η_α sets.

In 1910, Hausdorff started teaching at Bonn University as “extraordinarius” (associate professor) and broadened his perspective on set theory as a general symbolical basis for mathematics. In early 1912 he found a beautiful axiomatic characterization of topological spaces by neighborhood systems and started to compose a monograph on “basic features of set theory” (*Grundzüge der Mengenlehre*). It was finished two years later, after he had moved to Greifswald University in 1913 on a call to an “ordinary” (full) professorship, and became his *opus magnum*. In this book, Hausdorff showed how set theory could be used more broadly as a working frame for mathematics. It contained three parts:

- (1) *general set theory* and order structures,
- (2) *topological spaces* and their basic properties,
- (3) *measure theory* and integration.

While set theory was introduced in a nonaxiomatic style, although with extraordinary precision, topological spaces and measure theory were given an axiomatic presentation. In part (2), Hausdorff published his *neighborhood axioms* for general spaces, found two years earlier, introduced separation and countability axioms, studied connectivity properties and other concepts. This part of the book contained the first comprehensive treatment of the theory of metric spaces, initiated by M. Fréchet in 1906, and laid the basis for an important part of the tradition of general topology of the coming century.

In part (3) he gave a lucid introduction to measure theory, building upon the work of E. Borel and H. Lebesgue. In a paper published shortly before the book, and added in convent as an appendix to the latter, Hausdorff gave a negative answer to Lebesgue’s question (for all $n \geq 3$); whether a (finitely) additive content function invariant under congruencies can be defined on *all* subsets of Euclidean \mathbb{R}^n (1914). Using the axiom of choice, he “constructed” a partition of the 2-sphere (up to a countable residual set), in which each part is congruent to the union of two of them. This was the starting point for the later paradoxical constructions of measure theory by S. Banach and A. Tarski.

An intense reception of the *Grundzüge* started only after the First World War, and most strongly in the rising schools of modern mathematics in Poland, around the journal *Fundamenta Mathematicae*, and in the Soviet Union mainly among N. Lusin’s students around P. Alexandrov. Between the latter and Hausdorff there arose a close scientific exchange and intellectual friendship, interrupted only after 1933. All in all, the *Grundzüge* became one of the founding documents of *mathematical modernism* in the sense of the 1920/30s. In a lecture course in 1923 Haus-

dorff introduced an axiomatic basis for probability theory, which anticipated Kolmogorov's axiomatization of 1933.

In his own research, Hausdorff took up questions in real analysis, now informed by the new "basic features" of general set theory. His introduction of what are now called *Hausdorff measure* and *Hausdorff dimension* became of long-lasting importance in the theory of dynamical systems, geometrical measure theory and the study of "fractals", which stirred broad and even popular interest in the last third of the 20th century.

Other important technical contributions dealt with summation methods of infinite divergent series and a generalization of the Riesz-Fischer theorem, which established the now well known relation between L^p function spaces and l^q series of Fourier coefficients, for

$$\frac{1}{p} + \frac{1}{q} = 1,$$

and opened the path for later developments in harmonic analysis on topological groups.

Like in the case of his earlier studies of order structures, such investigations led Hausdorff back to foundational questions of set theory. Already in the *Grundzüge* he had been able to show that certain Borel sets were either countable or of the cardinality of the continuum. In 1916, Hausdorff, and independently P. Alexandrov, could show that any Borel set in a separable metrical space is of cardinality \aleph_0 or of the continuum. That was an important step forward for a strategy proposed by G. Cantor to clarify the continuum hypothesis. Although this goal could not be achieved along this road, it led to the development of an extended field of investigation on the border region between set theory and analysis, now dealt with in *descriptive set theory*.

When Hausdorff revised his *opus magnum* for a second edition in the late 1920s, he rewrote the parts on descriptive set theory and topological spaces completely, extending the first considerably and concentrating the second on metrical spaces. As other books on general set theory and general topology had appeared in the meantime, he omitted these parts; thus the so-called "second edition" was a completely new book on specialized topics of set theory.

In 1921 Hausdorff returned to Bonn university, now as a full professor and colleague of E. Study and (a little later) O. Toeplitz. After the rise to power of the Nazi regime, life and work conditions deteriorated steadily and more and more drastically for Hausdorff and other people of Jewish origin (F. Hausdorff had distanced himself from religion during the 1890s, his wife had converted to Protestantism). While he was still regularly pensioned in early 1935, his colleague O. Toeplitz was dismissed and left Nazi Germany for Palestine shortly before the outbreak of the Second World War. Hausdorff's attempts for emigration came too

late to be successful and his contacts with local mathematicians reduced essentially to one sensible and upright colleague, E. Bessel-Hagen.

When Felix Hausdorff, his wife Charlotte and a sister of hers were ordered to leave their house for a local internment regime in January 1942, they opted for suicide rather than suffering further persecution. At that time their (adult) daughter was living in Jena. She escaped from deportation and managed to hide in the Harz region until the end of the war and the downfall of the Nazi regime.



Felix Hausdorff

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Streszczenie

Felix Hausdorff (ur. 8 listopada 1868 we Wrocławiu, zm. 26 stycznia 1942 w Bonn) studiował matematykę w Lipsku, Freiburgu i Berlinie w latach 1887-1891 (dysertacja). Po habilitacji w 1895 r. wykładał na uniwersytecie w Lipsku i w miejscowej szkole handlowej. W 1910 r. Hausdorff zaczął uczyć na uniwersytecie w Bonn. Po dojściu do władzy reżimu nazistowskiego warunki życia i pracy Hausdorffa i innych ludzi pochodzenia żydowskiego pogarszały się stale. Gdy Felixowi Hausdorffowi, jego żonie Charlotcie i jej siostrze nakazano w styczniu 1942 r. opuścić ich dom w celu internowania, zdecydowali się popełnić samobójstwo.

Słowa kluczowe: Felix Hausdorff, Paul Mongré, ogólna teoria zbiorów, opisowa teoria zbiorów, przestrzenie topologiczne, teoria miary, Banach, Tarski, Alexandrov, Kolmogorov, Wrocław (Breslau), Lipsk, Bonn, Greifswald, hipoteza continuum, Toeplitz.